



3-10 -04

Docket No. 8201/SYNX/JB

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Eric A. Englhardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and Jeffrey C. Hudgens  
Title: SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS BETWEEN PROCESSING TOOLS  
Serial No.: 10/764,620  
Filed: January 26, 2004

Transmitted herewith is:

- ☒ Submission of Formal Drawings  
☒ Drawings totaling two pages, ☒ Formal ☐ Informal  
☒ Return Postcards

FEE CALCULATION					
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

**PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O.BOX 450A  
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975551050US

Date of Deposit: 3/9/04

Signature: Brian M. Dugan

Respectfully submitted,

Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081



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PATENTS  
8201/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael R. Rice, Eric A. Englhardt, Vinay  
Shah, Martin R. Elliott, Robert B. Lowrance  
and Jeffrey C. Hudgens

Serial No. : 10/764,620

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For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL  
LOT SIZE SUBSTRATE CARRIERS BETWEEN  
PROCESSING TOOLS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Sir:

Please substitute the attached formal drawings (two  
sheets; FIGS. 1 and 2) for the originally filed informal  
drawings.

Respectfully Submitted,

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated:

3/9/04  
Tarrytown, New York